

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY DOCKET NUMBER SDS-0119
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. <small>as known under 37 CFR 1.5</small> <b>107537650</b>
INTERNATIONAL APPLICATION NO. <b>PCT/IB2003/005680</b>	INTERNATIONAL FILING DATE <b>05 December 2003 (05.12.2003)</b>	PRIORITY DATE CLAIMED <b>06 December 2002 (06.12.2002)</b>
TITLE OF INVENTION <b>FINANCIAL PRODUCT PRICING SYSTEM</b>		
APPLICANT(S) FOR DO/EO/US <b>Guillame BLACHET</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/>	This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.	
2. <input type="checkbox"/>	This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.	
3. <input type="checkbox"/>	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9), and (21) indicated below.	
4. <input type="checkbox"/>	The US has been elected (Article 31).	
5. <input checked="" type="checkbox"/>	A copy of the International Application as filed (35 U.S.C. 371(c)(2)).	
	a. <input checked="" type="checkbox"/>	is attached hereto (required only if not communicated by the International Bureau).
	b. <input type="checkbox"/>	has been communicated by the International Bureau.
	c. <input type="checkbox"/>	is not required, as the application was filed in the United States Receiving Office (RO/US).
6. <input type="checkbox"/>	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).	
	a. <input type="checkbox"/>	is attached hereto.
	b. <input type="checkbox"/>	has been previously submitted under 35 U.S.C. 154(d)(4).
7. <input checked="" type="checkbox"/>	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))	
	a. <input type="checkbox"/>	are attached hereto (required only if not communicated by the International Bureau).
	b. <input type="checkbox"/>	have been communicated by the International Bureau.
	c. <input type="checkbox"/>	have not been made; however, the time limit for making such amendments has NOT expired.
	d. <input checked="" type="checkbox"/>	have not been made and will not be made.
8. <input type="checkbox"/>	An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).	
9. <input checked="" type="checkbox"/>	An oath or declaration of the inventor(s) 35 U.S.C. 371(c)(4). <b>(UNEXECUTED)</b>	
10. <input type="checkbox"/>	An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).	
Items 11. to 20. below concern other document(s) or information included:		
11. <input type="checkbox"/>	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.	
12. <input type="checkbox"/>	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.	
13. <input type="checkbox"/>	A preliminary amendment.	
14. <input checked="" type="checkbox"/>	An Application Data Sheet under 37 CFR 1.76.	
15. <input type="checkbox"/>	A substitute specification.	
16. <input type="checkbox"/>	A power of attorney and/or change of address letter.	
17. <input type="checkbox"/>	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.182-1.825.	
18. <input type="checkbox"/>	A second copy of the published international application under 35 U.S.C. 154(d)(4).	
19. <input type="checkbox"/>	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).	
20. <input checked="" type="checkbox"/>	Other items or information: <b>a return postcard.</b>	

EXPRESS MAIL Mailing Label No. **EV 482612816 US**  
Date of Deposit: **June 6, 2005**

U.S. APPLICATION NO. (if known 37 C.F.R. 1.5) <b>10/537650</b>	INTERNATIONAL APPLICATION NO. PCT/IB2003/005680	ATTORNEY DOCKET NUMBER SDS-0119
The following fees are submitted:		
21. <input checked="" type="checkbox"/> Basic national fee \$300.00		
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$100.00 All other situations \$200.00		
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the International application to the USPTO as an International Searching Authority \$100.00 International Search Report prepared and provided to the Office \$400.00 All other situations \$500.00		
<b>TOTAL of 21, 22 and 23 =</b> \$1000.00		
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.		
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)
23- 100 =	0/50=	X \$250.00
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		
Claims	Number Filed	Number Extra
Total claims	- 20 =	X \$50.00
Independent Claims	- 3 =	X \$200.00
Multiple dependent claims(s) (if applicable)		+ \$360.00
<b>TOTAL OF ABOVE CALCULATIONS =</b> \$1000.00		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.		
<b>SUBTOTAL =</b> \$1000.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		
<b>TOTAL NATIONAL FEE =</b> \$1130.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		
<b>TOTAL FEES ENCLOSED =</b> \$1130.00		
<input type="checkbox"/> <b>Amount to be: refunded</b> \$ <input checked="" type="checkbox"/> <b>charged</b> \$		
<p>a. <input checked="" type="checkbox"/> A check in the amount of <b>\$1030.00</b> to cover the above fee is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 23-3050 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner if hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3050. A duplicate copy of this sheet is enclosed.</p>		
<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p> <p>SEND ALL CORRESPONDENCE TO:</p> <p><b>Steven J. Rocc</b> Woodcock Washburn LLP One Liberty Place - 46th Floor Philadelphia, PA 19103 (215) 568-3100</p> <p><b>Peter M. Ullman</b> NAME _____</p> <p>43,963 REGISTRATION NUMBER _____</p>		